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PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>		Attorney Docket No. 02453.0022.NPUS00	
		First Inventor	ERIK SWENSON
		Title	SYSTEM FOR AND METHOD OF COMMUNICATING CONTROL INFORMATION BETWEEN ENTITIES INTERCONNECTED BY BACKPLANE CONNECTIONS
		Express Mail Label No.	EL 560495191US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 39]</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 19]</small> 5. Oath or Declaration <small>[Total Pages]</small> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <u>Transmittal letter</u>
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18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____ / ____

Prior application information: Examiner ____ Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Robert C. Laursen	Registration No. (Attorney/Agent)	34,206
Signature		Date	September 8, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



09/09/03

Howrey Docket No. 02453.0022.NPUS00

Express Mail No. EL 560495191US

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Mail Stop Patent Application

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Sir:

Transmitted herewith for filing is the patent application of ERIK R. SWENSON, and STEPHEN R. HADDOCK, titled **"SYSTEM FOR AND METHOD OF COMMUNICATING CONTROL INFORMATION BETWEEN ENTITIES INTERCONNECTED BY BACKPLANE CONNECTIONS"**.

The following documents are enclosed for appropriate action:

1. Utility Patent Application Transmittal
2. Patent Application – 39
3. Drawings – 19 pages (Figs. 1-24)
4. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
5. Return Postcard

(Col. 1)		(Col. 2)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$375.00	OR		\$ 750.00
TOTAL CLAIMS	20-20=		x 9 =	0.00	OR	x 18 =	0.00
INDEP. CLAIMS	-3	3	x 42 =	0.00	OR	x 84=	0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 140 =	0.00	OR	+ 280 =	0.00
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$0.00	OR	TOTAL	0.00

CERTIFICATE OF MAILING
(37 C.F.R. §1.10(a))

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service (USPS) on the date shown below using the "Express Mail Post Office to Addressee" service of the USPS (Express Mail No. EL560495165US in an envelope addressed to *Mail Stop Patent Application*, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 8, 2003
Date of Deposit


Robin L. Clow
Name of Person Mailing Paper

R. Clow
Signature of Person Mailing Paper

This application is being filed under 37 C.F.R. §1.53(b) without declaration and filing fee.

HOWREY SIMON ARNOLD & WHITE, LLP

Date: September 8, 2003


Robert C. Laurenson, Reg. No. 34,206

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor ERIK SWENSON	
	Title	SYSTEM FOR AND METHOD OF COMMUNICATING CONTROL INFORMATION BETWEEN ENTITIES
	Atty. Docket Number 02453.0022.NPUS00	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 8 2003
Date



Signature

Robert C. Laurenson, Reg. No. 34,206

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing with forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**